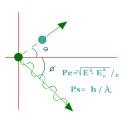
# Intersection of soft (long-range) and hard (short-range) processes in nuclei

NuSym15 Kraków, 6/29/2015





Wim Dickhoff

Bob Charity

Lee Sobotka

Helber Dussan

Hossein Mahzoon

- Why Green's functions?
- Ab initio -> Phys. Rev. C89, 044303 (2014)
  - -> arXiv:1502.05673
- as a framework to analyze experimental data (and extrapolate and predict properties of exotic nuclei)
  - --> dispersive optical model (DOM)
- Focus on recent DOM —> DSM developments
- Some surprises!
- Conclusions

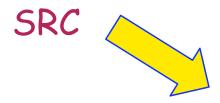
#### Why do Green's functions?

Properly executed --> answers an old question from Sir Denys
 Wilkinson: "What does a nucleon do in the nucleus?"

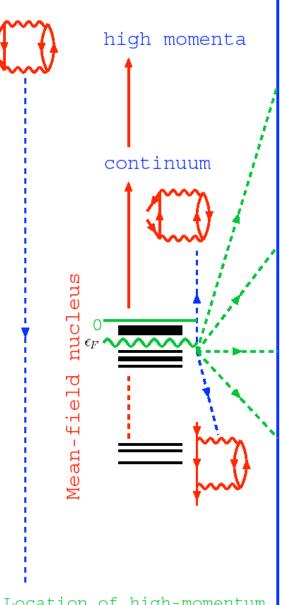
- Nucleon self-energy —> think of potential but energy dependent
- Nucleon self-energy —> elastic nucleon scattering data --> input for the analysis of many nuclear reactions
- Nucleon self-energy —> bound-state overlap functions with their normalization --> also used in the analysis of nuclear reactions --> for exotic nuclei only strongly interacting probes available
- Nucleon self-energy—> ground-state expectation value of onebody operators like densities (& E/A from  $V_{NN}$ )
- Self-energy <--> data --> dispersive optical model (DOM)

Location of single-particle strength in closed-shell (stable) nuclei

For example: protons in <sup>208</sup>Pb



JLab E97-006



Location of high-momentum components due to SRC at high missing energy

High-energy strength due to SRC and tensor force

15% SRC theory scattering

100 MeV

Coupling to surface phonons and Giant Resonances

65% quasihole strength

10%

Coupling to surface phonons and Giant Resonances

Spectral strength for a correlated nucleus

L. Lapikās Jucl. Phys. A553,297c (1993 Elastic nucleor

Phys. Rev. Lett. 93, 182501 (2004) D. Rohe et al.

#### Remarks

- Given a Hamiltonian, a perturbation expansion can be generated for the single-particle propagator
- Dyson equation determines propagator in terms of nucleon selfenergy
- Self-energy is causal and obeys dispersion relations relating its real and imaginary part
- Data constrained self-energy acts as ideal interface between ab initio theory and experiment and allows surprising predictions!

#### Propagator / Green's function

· Lehmann representation

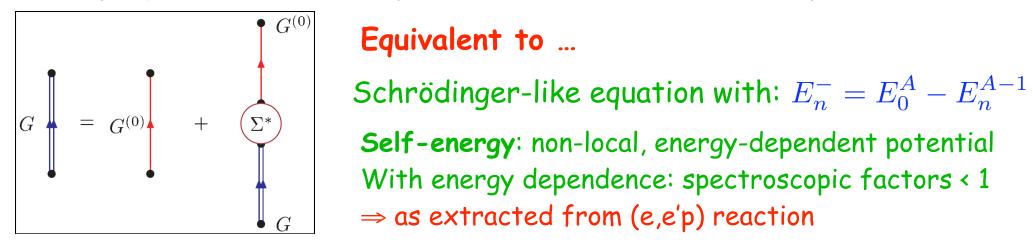
$$G_{\ell j}(k, k'; E) = \sum_{m} \frac{\langle \Psi_{0}^{A} | a_{k\ell j} | \Psi_{m}^{A+1} \rangle \langle \Psi_{m}^{A+1} | a_{k'\ell j}^{\dagger} | \Psi_{0}^{A} \rangle}{E - (E_{m}^{A+1} - E_{0}^{A}) + i\eta} + \sum_{n} \frac{\langle \Psi_{0}^{A} | a_{k'\ell j}^{\dagger} | \Psi_{n}^{A-1} \rangle \langle \Psi_{n}^{A-1} | a_{k\ell j} | \Psi_{0}^{A} \rangle}{E - (E_{0}^{A} - E_{n}^{A-1}) - i\eta}$$

- Any other single-particle basis can be used
- Overlap functions --> numerator
- Corresponding eigenvalues --> denominator
- Spectral function  $S_{\ell j}(k;E) = \frac{1}{\pi} \operatorname{Im} G_{\ell j}(k,k;E)$   $E \leq \varepsilon_F^ = \sum \left| \langle \Psi_n^{A-1} | \, a_{k\ell j} \, | \Psi_0^A \rangle \right|^2 \delta(E (E_0^A E_n^{A-1}))$
- Spectral strength in the continuum

$$S_{\ell j}(E) = \int_0^\infty dk \ k^2 \ S_{\ell j}(k; E)$$

- Discrete transitions  $\sqrt{S^n_{\ell j}} \; \phi^n_{\ell j}(k) = \langle \Psi^{A-1}_n | \, a_{k\ell j} \, | \Psi^A_0 
  angle$
- Positive energy —> see later

# Propagator from Dyson Equation and "experiment"



#### Equivalent to ...

$$\frac{k^2}{2m}\phi_{\ell j}^n(k) + \int dq \ q^2 \ \Sigma_{\ell j}^*(k, q; E_n^-) \ \phi_{\ell j}^n(q) = E_n^- \ \phi_{\ell j}^n(k)$$

Spectroscopic factor 
$$S_{\ell j}^n = \int\!\!dk\;k^2\;\left|\left\langle\Psi_n^{A-1}\right|a_{k\ell j}\left|\Psi_0^A\right\rangle\right|^2 < 1$$

Dyson equation also yields  $\left[\chi_{\ell j}^{elE}(r)\right]^*=\langle\Psi_{elE}^{A+1}|\,a_{r\ell j}^{\dagger}\,|\Psi_0^A
angle$  for positive energies

#### Elastic scattering wave function for protons or neutrons

Dyson equation therefore provides:

Link between scattering and structure data from dispersion relations

#### Propagator in principle generates

- · Elastic scattering cross sections for p and n
- Including all polarization observables
- Total cross sections for n
- Reaction cross sections for p and n
- Overlap functions for adding p or n to bound states in Z+1 or N+1
- Plus normalization --> spectroscopic factor
- · Overlap function for removing p or n with normalization
- · Hole spectral function including high-momentum description
- · One-body density matrix; occupation numbers; natural orbits
- Charge density
- Neutron distribution
- p and n distorted waves
- Contribution to the energy of the ground state from V<sub>NN</sub>
  reactions and structure

#### Dispersive Optical Model

#### Claude Mahaux 1980s

- connect traditional optical potential to bound-state potential
- crucial idea: use the dispersion relation for the nucleon self-energy
- smart implementation: use it in its subtracted form
- applied successfully to <sup>40</sup>Ca and <sup>208</sup>Pb in a limited energy window
- employed traditional volume and surface absorption potentials and a local energy-dependent Hartree-Fock-like potential
- Reviewed in Adv. Nucl. Phys. 20, 1 (1991)
- Radiochemistry group at Washington University in St. Louis:
   Charity and Sobotka propose to use it for a sequence of Ca isotopes —> data-driven extrapolations to the drip line
  - First results 2006 PRL
  - Subsequently —> attention to data below the Fermi energy related to ground-state properties —> Dispersive Self-energy Method (DSM)

# Optical potential <--> nucleon self-energy

- e.g. Bell and Squires --> elastic T-matrix = reducible self-energy
- Mahaux and Sartor Adv. Nucl. Phys. 20, 1 (1991)
  - relate dynamic (energy-dependent) real part to imaginary part
  - employ subtracted dispersion relation

General dispersion relation for self-energy:

$$\operatorname{Re} \Sigma(E) = \Sigma^{HF} - \frac{1}{\pi} \mathcal{P} \int_{E_{T}^{+}}^{\infty} dE' \frac{\operatorname{Im} \Sigma(E')}{E - E'} + \frac{1}{\pi} \mathcal{P} \int_{-\infty}^{E_{T}^{-}} dE' \frac{\operatorname{Im} \Sigma(E')}{E - E'}$$

**Calculated at the Fermi energy**  $\varepsilon_F = \frac{1}{2} \{ (E_0^{A+1} - E_0^A) + (E_0^A - E_0^{A-1}) \}$ 

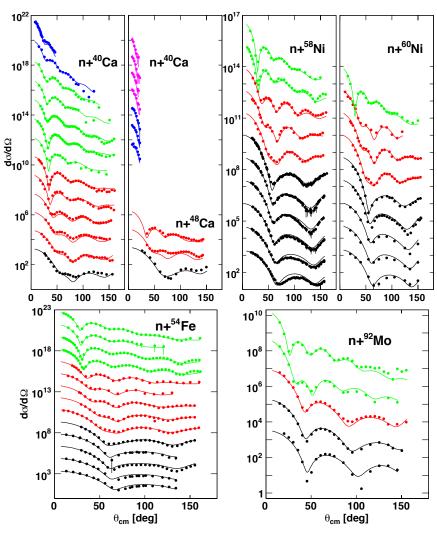
$$\operatorname{Re} \Sigma(\varepsilon_F) = \Sigma^{HF} - \frac{1}{\pi} \mathcal{P} \int_{E_T^+}^{\infty} dE' \frac{\operatorname{Im} \Sigma(E')}{\varepsilon_F - E'} + \frac{1}{\pi} \mathcal{P} \int_{-\infty}^{E_T^-} dE' \frac{\operatorname{Im} \Sigma(E')}{\varepsilon_F - E'}$$
Subtract

Re 
$$\Sigma(E)$$
 = Re  $\Sigma^{\widetilde{HF}}(\varepsilon_F)$ 

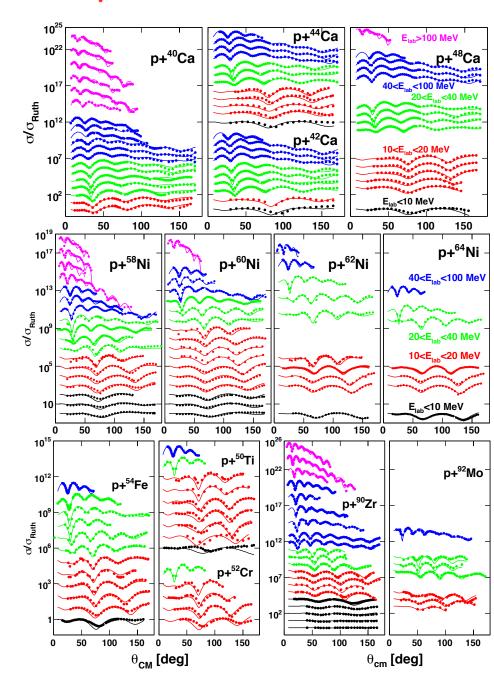
$$- \frac{1}{\pi}(\varepsilon_F - E)\mathcal{P} \int_{E_T^+}^{\infty} dE' \frac{\operatorname{Im} \Sigma(E')}{(E - E')(\varepsilon_F - E')} + \frac{1}{\pi}(\varepsilon_F - E)\mathcal{P} \int_{-\infty}^{E_T^-} dE' \frac{\operatorname{Im} \Sigma(E')}{(E - E')(\varepsilon_F - E')}$$

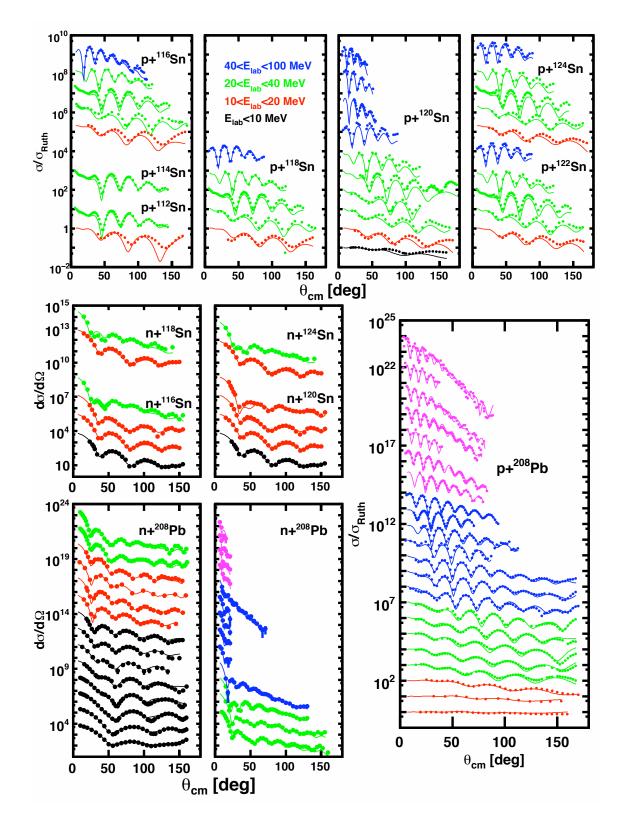
#### Elastic scattering data for protons and neutrons

Local DOM implementation



J. Mueller et al. PRC83,064605 (2011), 1-32





# Recent local DOM analysis --> towards global

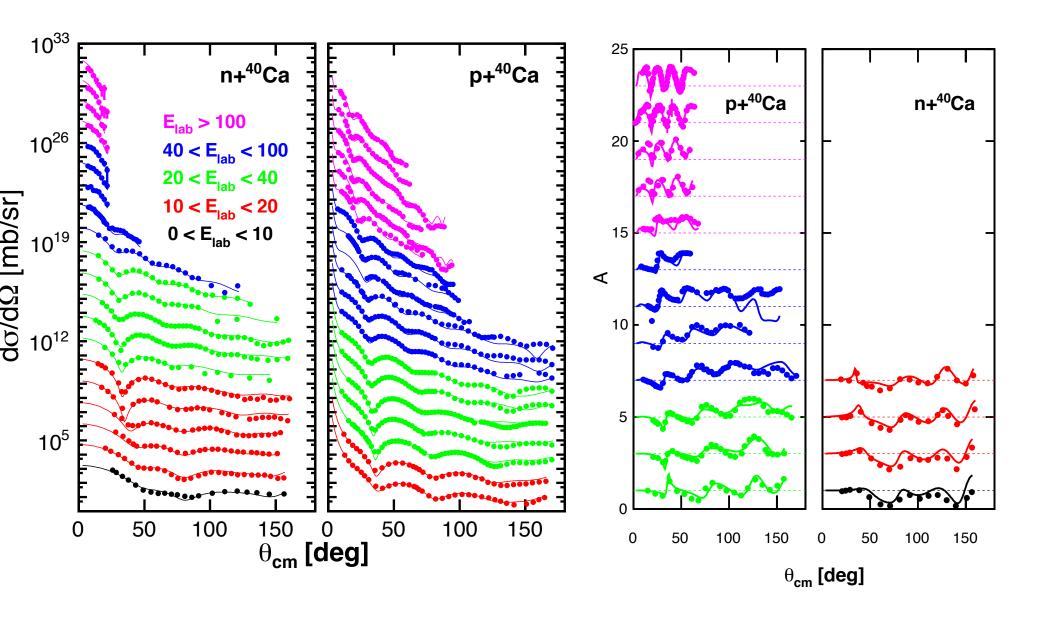
J. Mueller et al. PRC83,064605 (2011), 1-32

#### Nonlocal DOM implementation PRL112,162503(2014)

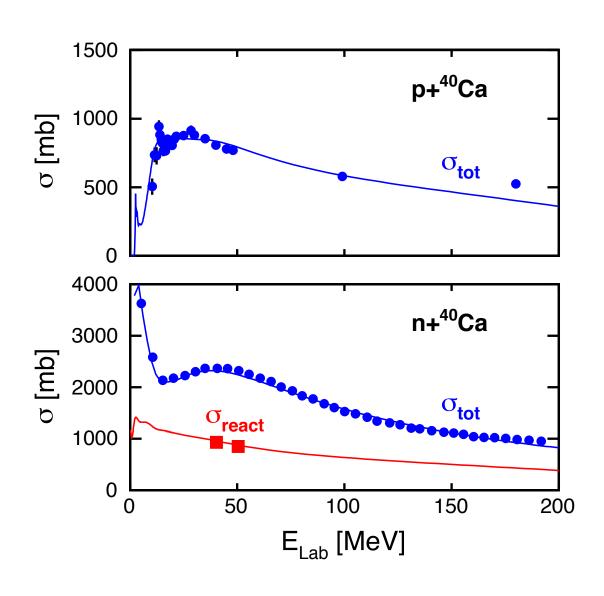
- Particle number --> nonlocal imaginary part
- Microscopic FRPA & SRC --> different nonlocal properties above and below the Fermi energy
- Include charge density in fit
- Describe high-momentum nucleons <--> (e,e'p) data from JLab
   Implications
- Changes the description of hadronic reactions because interior nucleon wave functions depend on non-locality
- · Consistency test of the interpretation of (e,e'p) possible
- Independent "experimental" statement on size of three-body contribution to the energy of the ground state--> two-body only:

$$E/A = \frac{1}{2A} \sum_{\ell j} (2j+1) \int_0^\infty \!\! dk k^2 \frac{k^2}{2m} n_{\ell j}(k) + \frac{1}{2A} \sum_{\ell j} (2j+1) \int_0^\infty \!\! dk k^2 \int_{-\infty}^{\varepsilon_F} \!\! dE \ ES_{\ell j}(k;E)$$
 reactions and structure

#### Differential cross sections and analyzing powers



# Reaction (p&n) and total (n) cross sections



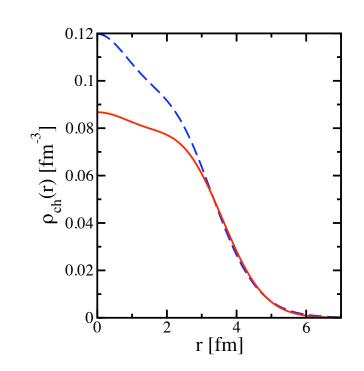
# Critical experimental data

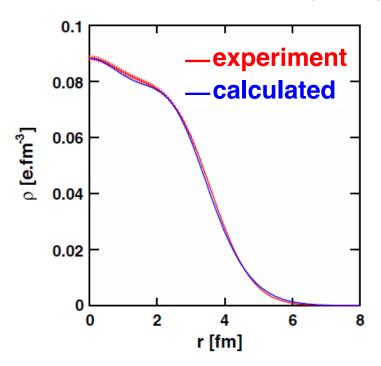
Local version radius correct...

Charge density <sup>40</sup>Ca

Non-locality essential

PRL 112,162503(2014)





High-momentum nucleons -> JLab can also be described -> E/A

#### Do elastic scattering data tell us about correlations?

Scattering T-matrix

$$\Sigma_{\ell j}(k, k'; E) = \Sigma_{\ell j}^*(k, k'; E) + \int dq q^2 \Sigma_{\ell j}^*(k, q; E) G^{(0)}(q; E) \Sigma_{\ell j}(q, k'; E)$$

Free propagator 
$$G^{(0)}(q;E)=rac{1}{E-\hbar^2q^2/2m+i\eta}$$

Propagator

$$G_{\ell j}(k, k'; E) = \frac{\delta(k - k')}{k^2} G^{(0)}(k; E) + G^{(0)}(k; E) \Sigma_{\ell j}(k, k'; E) G^{(0)}(k; E)$$

Spectral representation 
$$G_{\ell j}^{p}(k,k';E) = \sum_{n} \frac{\phi_{\ell j}^{n+}(k) \left[\phi_{\ell j}^{n+}(k')\right]^{*}}{E-E_{n}^{*A+1}+i\eta} + \sum_{c} \int_{T_{c}}^{\infty} dE' \; \frac{\chi_{\ell j}^{cE'}(k) \left[\chi_{\ell j}^{cE'}(k')\right]^{*}}{E-E'+i\eta}$$

Spectral density for E > 0

$$S_{\ell j}^{p}(k, k'; E) = \frac{i}{2\pi} \left[ G_{\ell j}^{p}(k, k'; E^{+}) - G_{\ell j}^{p}(k, k'; E^{-}) \right] = \sum_{c} \chi_{\ell j}^{cE}(k) \left[ \chi_{\ell j}^{cE}(k') \right]^{*}$$

Coordinate space 
$$S^p_{\ell j}(r,r';E) = \sum \chi^{cE}_{\ell j}(r) \left[\chi^{cE}_{\ell j}(r')\right]^*$$

Elastic scattering also explicitly available

$$\chi_{\ell j}^{elE}(r) = \left[\frac{2mk_0}{\pi\hbar^2}\right]^{1/2} \left\{ j_{\ell}(k_0r) + \int dk k^2 j_{\ell}(kr) G^{(0)}(k; E) \Sigma_{\ell j}(k, k_0; E) \right\}$$

#### Determine location of bound-state strength

Fold spectral function with bound state wave function

$$S_{\ell j}^{n+}(E) = \int \!\! dr \ r^2 \!\! \int \!\! dr' \ r'^2 \phi_{\ell j}^{n-}(r) S_{\ell j}^p(r,r';E) \phi_{\ell j}^{n-}(r')$$

- —> Addition probability of bound orbit
- Also removal probability

$$S_{\ell j}^{n-}(E) = \int \!\! dr r^2 \! \int \!\! dr' r'^2 \phi_{\ell j}^{n-}(r) S_{\ell j}^h(r,r';E) \phi_{\ell j}^{n-}(r')$$

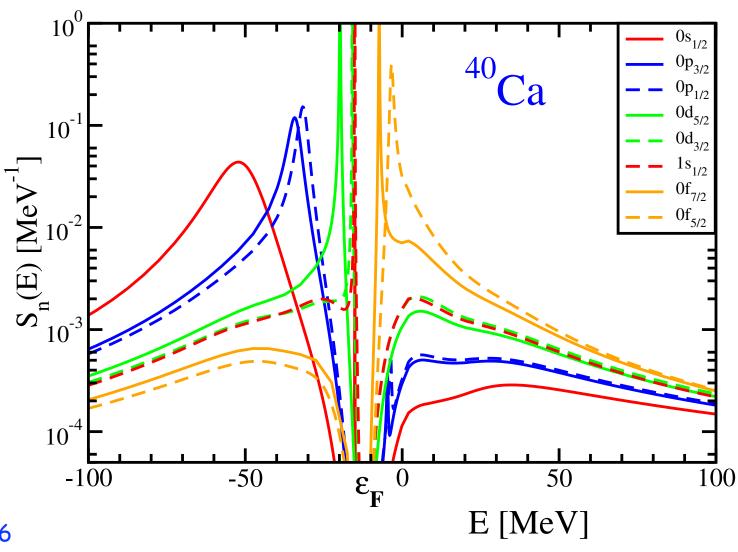
Overlap function

$$\sqrt{S_{\ell j}^n} \phi_{\ell j}^{n-}(r) = \langle \Psi_n^{A-1} | a_{r\ell j} | \Psi_0^A \rangle$$

• Sum rule  $1 = n_{n\ell j} + d_{n\ell j} = \int_{-\infty}^{\varepsilon_F} \!\!\!\! dE \ S_{\ell j}^{n-}(E) + \int_{\varepsilon_F}^{\infty} \!\!\!\! dE \ S_{\ell j}^{n-}(E)$ 

#### Spectral function for bound states

• [0,200] MeV -> constrained by elastic scattering data



 $S_{0d3/2} = 0.76$ 

 $S_{1s1/2} = 0.78$ 

PRC90, 061603(R) (2014)

0.15 larger than NIKHEF analysis!

#### Quantitatively

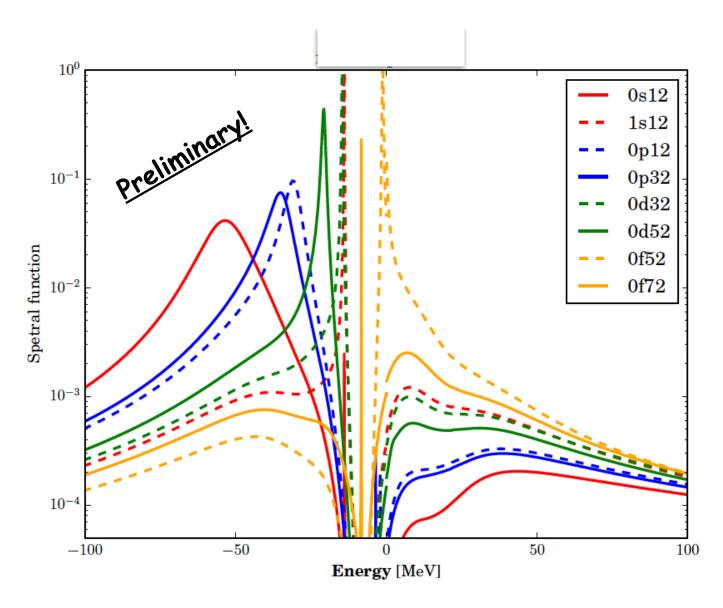
- Orbit closer to the continuum —> more strength in the continuum
- Note "particle" orbits
- Drip-line nuclei have valence orbits very near the continuum

Table 1: Occupation and depletion numbers for bound orbits in  $^{40}$ Ca.  $d_{nlj}[0,200]$  depletion numbers have been integrated from 0 to 200 MeV. The fraction of the sum rule that is exhausted, is illustrated by  $n_{n\ell j} + d_{n\ell j}[\varepsilon_F, 200]$ . Last column  $d_{nlj}[0,200]$  depletion numbers for the CDBonn calculation.

$\operatorname{orbit}$	$n_{n\ell j}$	$d_{n\ell j}[0,200]$	$n_{n\ell j} + d_{n\ell j}[\varepsilon_F, 200]$	$d_{n_\ell j}[0,200]$
	$\overline{\text{DOM}}$	DOM	$\overline{\mathrm{DOM}}$	CDBonn
$0s_{1/2}$	0.926	0.032	0.958	0.035
$0p_{3/2}$	0.914	0.047	0.961	0.036
$1p_{1/2}$	0.906	0.051	0.957	0.038
$0d_{5/2}$	0.883	0.081	0.964	0.040
$1s_{1/2}$	0.871	0.091	0.962	0.038
$0d_{3/2}$	0.859	0.097	0.966	0.041
$0f_{7/2}$	0.046	0.202	0.970	0.034
$0f_{5/2}$	0.036	0.320	0.947	0.036

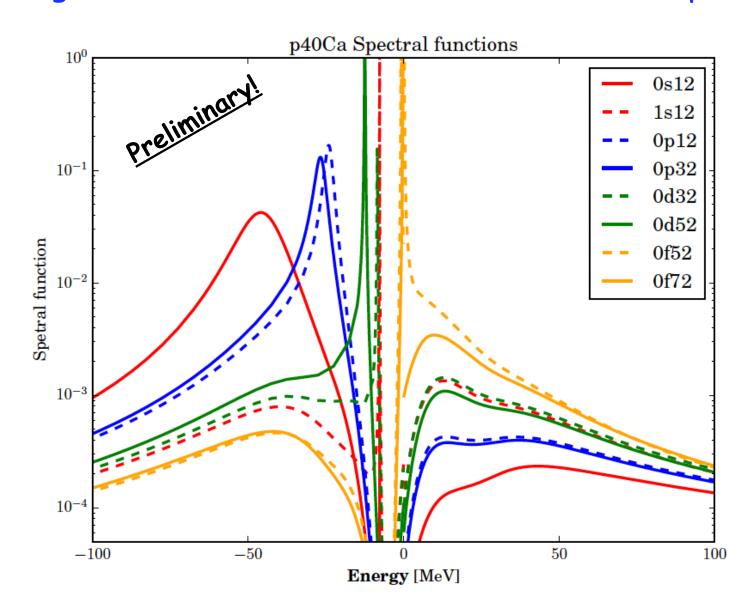
# Neutron spectral function in <sup>48</sup>Ca

Neutrons in <sup>48</sup>Ca less correlated <-> <sup>40</sup>Ca but qualitatively similar



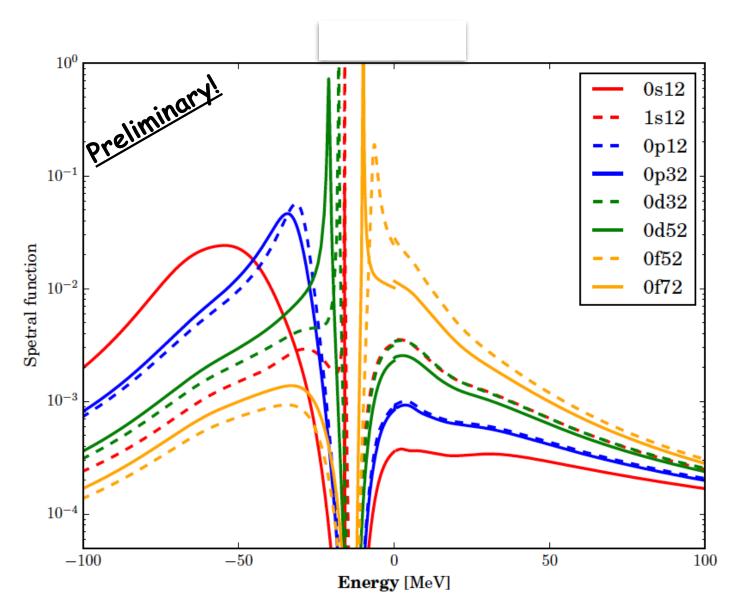
# Proton spectral function in 40Ca

Learning how to deal with Coulomb in momentum space



#### Protons in 48Ca

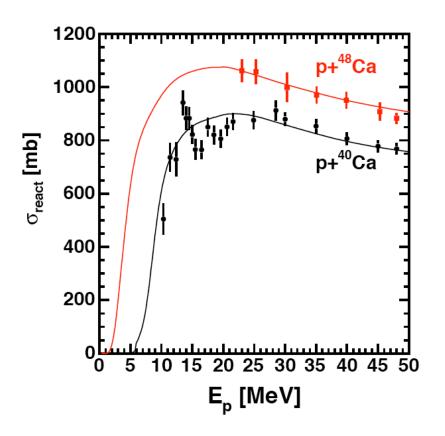
Protons in <sup>48</sup>Ca more correlated than in <sup>40</sup>Ca



# Quantitative comparison of 40Ca and 48Ca

Spectroscopic factors	<sup>40</sup> Ca	p <sup>48</sup> Ca	n <sup>48</sup> Ca
0d <sub>3/2</sub>	0.76	0.65 ↓	0.80
<b>1</b> S <sub>1/2</sub>	0.78	0.71 ↓	0.83 1
Of <sub>7/2</sub>	0.73	0.59 ↓	0.84 1

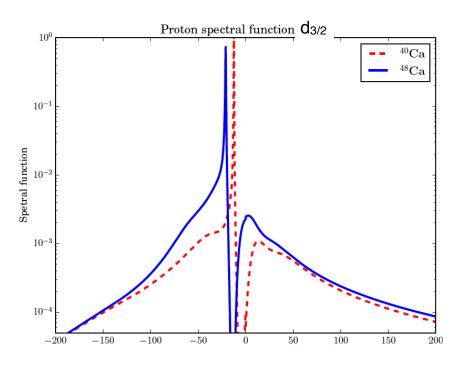
# Why are protons in $^{48}$ Ca more correlated than in $^{40}$ Ca?

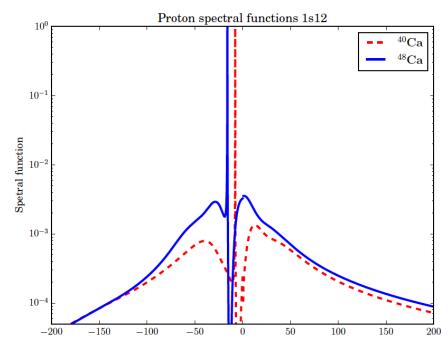


Loss of flux in the elastic channel

Answer: data require more surface absorption in <sup>48</sup>Ca than in <sup>40</sup>Ca

# Comparison for $d_{3/2}$ and $s_{1/2}$ protons

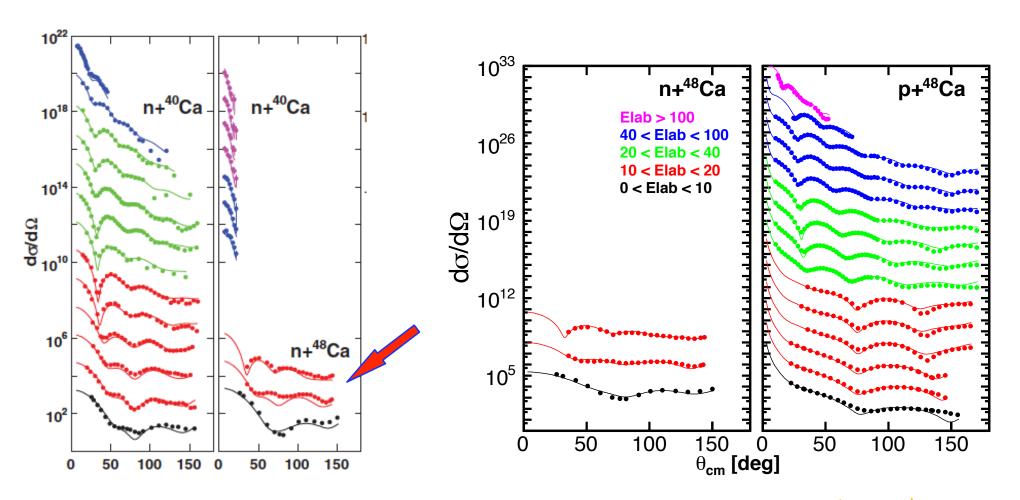




#### What about neutrons?

- 48Ca —> charge density has been measured
- Recent neutron elastic scattering data —> PRC83,064605(2011)
- Local DOM OLD

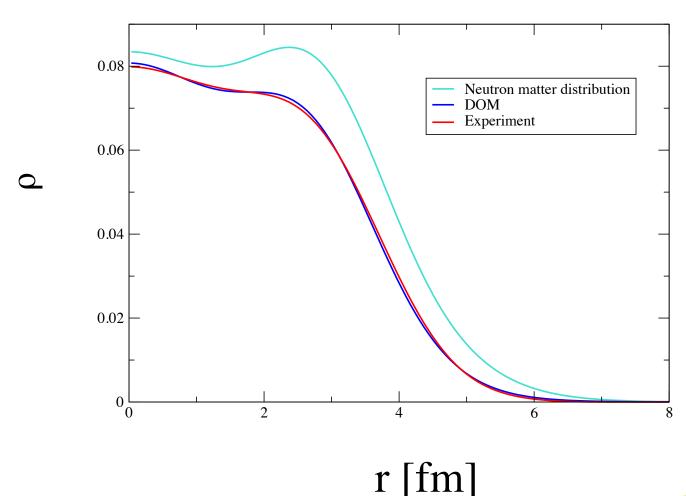
Nonlocal DOM NEW



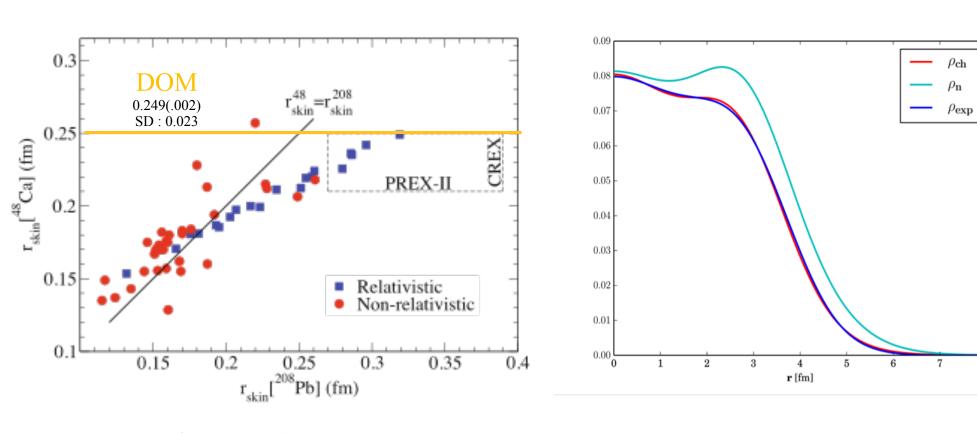
#### Results 48Ca

- Density distributions
- DOM -> neutron distribution -> R<sub>n</sub>-R<sub>p</sub>

<sup>48</sup>Ca nuclear charge distribution



# <sup>48</sup>Ca Densities



Eur. Phys. J. A (2014) C.J. Horowitz, K.S. Kumar, and R. Michaels

#### R<sub>n</sub>-R<sub>p</sub> for <sup>48</sup>Ca

- Charge density for <sup>40</sup>Ca ✓
- Charge density for <sup>48</sup>Ca
- Neutrons in <sup>40</sup>Ca well constrained
- 8 extra neutrons in  $^{48}Ca$  constrained by new elastic scattering data at low energy and total cross sections up to 200 MeV, level structure, and particle number  $\checkmark$
- neutron skin "large"
- neutron distribution smooth like the charge density

#### Question

 How important is the "straightjacket effect" for the relation between the slope of the symmetry energy and R<sub>n</sub>-R<sub>p</sub>?

#### Neutron Skin of <sup>208</sup>Pb, Nuclear Symmetry Energy, and the Parity Radius Experiment

X. Roca-Maza, 1,2 M. Centelles, 1 X. Viñas, 1 and M. Warda 3

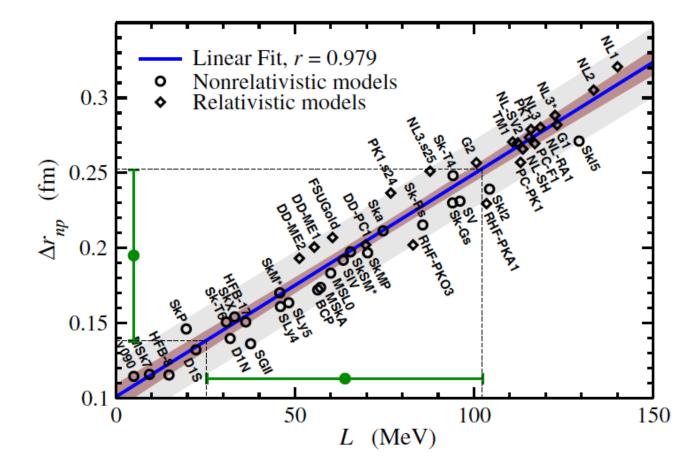
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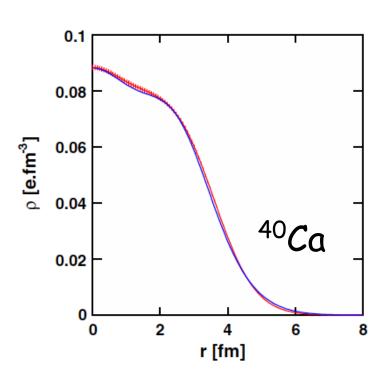
(Received 7 March 2011; published 21 June 2011)

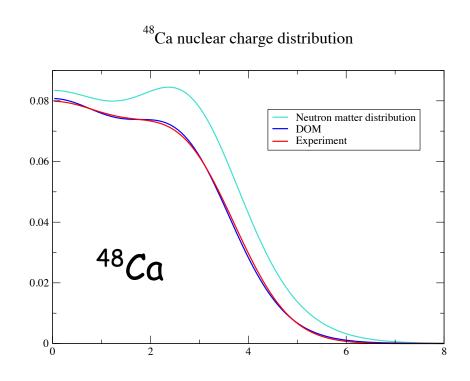


reactions and structure

#### Can we get L from the DOM?

Perhaps...





- We could calculate energy density as a function of r for both nuclei...
- Identify the normal density part from the interior...

#### Conclusions

- · It is possible to link nuclear reactions and nuclear structure
- Vehicle: nonlocal version of Dispersive Optical Model (Green's function method) pioneered by Mahaux —> DSM
- · Can be used as input for analyzing nuclear reactions
- Can predict properties of exotic nuclei
- · "Benchmark" for ab initio calculations: e.g. V<sub>NNN</sub> —> binding
- Can describe ground-state properties
  - charge density & momentum distribution
  - spectral properties including high-momentum Jefferson Lab data
- · Elastic scattering determines depletion of bound orbitals
- Outlook: reanalyze many reactions with nonlocal potentials...
- For N ≥ Z sensitive to properties of neutrons —> weak charge prediction, large neutron skin, perhaps more...